

# (Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## KDEL RECEPTOR INHIBITORS

This declaration is of the following type:

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	[X]	original
~ >==	[]	design
	[]	national stage of PCT.
1U 75		divisional
167 A	[]	continuation
	[]	continuation-in-part (C-I-P)
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the	spe	eification of which: (complete (a), (b), or (c))
(a)	[]	is attached hereto.
(b)	[X]	was filed on July 29, 1998 as Application Serial No. 09/124,671.
(c) app	IJ	was described and claimed in PCT International Application No. filed on and was a line of the control of the co

Acknowledgement of Review of Papers and Duty of Candor

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the subject matter claimed in this application in accordance with Title 37, Code of Federal Regulations § 1.56.

[] In compliance with this duty there is attached an information disclosure statement. 37 CFR 1.98.

#### **Priority Claim**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT International Application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT International Application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed

(complete (d) or (e)) (d) [X] no such applications have been filed.

(e) [] such applications have been filed as follows:

BAKER & BOTTS, LLP. FII NO.: A31488- 072734.0105

COUNTRY APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			[]YES NO []
			[] YES NO []
LL FOREIGN APPLICATIONIST IF ANY FILED MODE THAN 12 MODE	1		[] YES NO []
LL FOREIGN APPLICATION[S], IF ANY, FILED MORE THAN 12 MONTI	HS (6 MONTHS FOR DESIGN) PRICE	OR TO SAID APPLICATION	
	/		[]YES NO []
			[]YES NO []
			[]YES NO []

Claim for Benefit of Prior U.S. Provisional Application(s)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below:

Provisional Application Number	
The state of the s	Filing Date

# Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120

(complete this part only if this is a divisional, continuation or C-I-P application)

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

As a named inventor, I hereby appoint Dana M. Raymond, Reg. No. 18,540; Frederick C. Carver, Reg. No. 17,021; Francis J. Hone, Reg. No. 18,662; Joseph D. Garon, Reg. No. 20,420; Arthur S. Tenser, Reg. No. 18,839; Ronald B. Hildreth, Reg. No. 19,498; Thomas R. Nesbitt, Jr., Reg. No. 22,075; Robert Neuner, Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Richard S. Clark, Reg. No. 26,154; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836, Henry Tang, Reg. No. 29,705, Robert C. Scheinfeld, Reg. No. 31,300, John A. Fogarty, Jr., Reg. No. 22,348, Louis S. Sorell, Reg. No. 32,439 and Rochelle K. Seide Reg. No. 32,300 of the firm of BAKER & BOTTS, L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112 CUSTOMER NUMBER: 21003  BAKER & BOTTS, L.L.P. (212) 705-5000		30 ROCKEFELLER PLAZA, NEW YORK, N.Y. 10112	
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section

BAKER & BOTTS, L.L.P.

FILT NO.: A31488- 072734.0105 1001 of Title 18 of the United Standard and that such willful false states application or any patent issued eon.

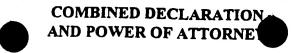
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FULL NAME OF SOLE OR FIRST INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME	
	Rothman	James	E.	
RESIDENCE & CITIZENSH		STATE or FOREIGN COUNTRY	COUNTRY OF CITIZ	ENSHIP
	New York	New York	US	
POST OFFICE ADDRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
ADDRESS	402 East 64th Street, Apt. 10B	New York	New York	10021
			THEW TOIK	10021
DATE /6/98	SIGNATURE OF INVENTOR			
19/2/58	Jan ( ) Cota			
FULL NAME OF SECOND JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
————	May hew	Mark		
RESIDENCE & CITIZENSHI	PCITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZE	
	Tarrytown	New York		ENSHIP
OST OFFICE	POST OFFICE ADDRESS	CITY	Great Britain	
ADDRESS	414 Benedict Avenue, Apt. 3E		STATE or COUNTRY	ZIP CODE
DATE		Tarrytown	New York	10591
	SIGNATURE OF INVENTOR			
ULL NAME OF THIRD	LASTNANG			
OPNT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
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ESIDENCE & CITIZENSHIP		STATE or FOREIGN COUNTRY	COUNTRY OF CITIZE	NSHIP
Ţ.	Irvington	New York	Malaysia	
OST OFFICE DDRESS	POST OFFICE ADDRESS	СІТУ	STATE or COUNTRY	ZIP CODE
DIIKESS	10 south Cottenet Street, 2S	Irvington	New York	1
ATE	SIGNATURE OF INVENTOR	12 / Ington	INCW TOIK	10533
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JLI-NAME OF FOURTH	LAST NAME	FIRST NAME		
INT INVENTOR, IF ANY		PICST NAME	MIDDLE NAME	
SIDENCE & CITIZENSHIP	CITY	STATE FOR PLANTS		
		STATE or FOREIGN COUNTRY	COUNTRY OF CITIZEN	SHIP
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DDRESS	TOOT OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
ATE .	CICNATI INTO OR DATE			
VIL.	SIGNATURE OF INVENTOR			
LL NAME OF FIFTH				
NT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
SIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZEN	SHIP
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NT INVENTOR, IF ANY	_	FIRST NAME	MIDDLE NAME	
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	V	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENS	НІР
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DRESS	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE
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E	SIGNATURE OF INVENTOR			

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BAKER & BOTTS, L.L.P.
FILT NO : A 31488 - 072734 0105

		FIJNO.	: A31488- 072734.0105			
FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME			
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP			
POST OFFICE ADDRESS'	POST OFFICE ADDRESS	СІТУ	STATE or COUNTRY ZIP CODE			
Check proper box(es) for any added page(s) forming a part of this declaration  Signature for ninth and subsequent joint inventors. Number of pages added  Signature by administrator(trix), executor(trix) or legal representative for deceased or incapacitated inventor.						
Number of pages added						



## (Original, Design, National Stage of PCT, Divisional, Continuation or C-I-P Application)

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(a) 📳	is attached hereto.
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(c) [ ]	was described and claimed in PCT International Application No. filed on and was amended on (i)
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(complete (d) or (e))

- (d) [X] no such applications have been filed.
- (e) [] such applications have been filed as follows:

COUNTRY APPLICATION NO.	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
			[] YES NO []
			[]YES NO []
			[] YES NO []
ALL FOREIGN APPLICATION[S]. IF ANY, FILED MORE THAN I	MONTHS (6 MONTHS FOR DESIGN) PRICE	OR TO SAID APPLICATION	<del></del>
			[] YES NO []
			[] YES NO []
			[] YES NO []

Claim for Benefit of Prior U.S. Provisional Application(s)

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Provisional Application Number	Filing Date
	Filing Date
ACC.	

# Claim for Benefit of Earlier U.S./PCT Application(s) under 35 U.S.C. 120

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(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Conv.) (conv.)

Power of Attorney

As a named inventor, I hereby appoint Dana M. Raymond, Reg. No. 18,540; Frederick C. Carver, Reg. No. 17,021; Francis J. Hone, Reg. No. 18,662; Joseph D. Garon, Reg. No. 20,420; Arthur S. Tenser, Reg. No. 18,839; Ronald B. Hildreth, Reg. No. 19,498; Thomas R. Nesbitt, Jr., Reg. No. 22,075; Robert Neuner, Reg. No. 24,316; Richard G. Berkley, Reg. No. 25,465; Richard S. Clark, Reg. No. 26,154; Bradley B. Geist, Reg. No. 27,551; James J. Maune, Reg. No. 26,946; John D. Murnane, Reg. No. 29,836, Henry Tang, Reg. No. 29,705, Robert C. Scheinfeld, Reg. No. 31,300, John A. Fogarty, Jr., Reg. No. 22,348, Louis S. Sorell, Reg. No. 32,439 and Rochelle K. Seide Reg. No. 32,300 of the firm of BAKER & BOTTS, L.L.P., with offices at 30 Rockefeller Plaza, New York, New York 10112, as attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith

SEND CORRESPONDENCE TO:
BAKER & BOTTS, L.L.P.
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CUSTOMER NUMBER: 21003

DIRECT TELEPHONE CALLS TO:
BAKER & BOTTS, L.L.P.
(212) 705-5000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section

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1001 of Title 18 of the United States Code and that such willful false states 's may jeopardize the validity of the application or any patent issued con.

FULL NAME OF SOLE	LAST NAME	FIRST NAME	AGDD! E VALOE	
OR FIRST INVENTOR	Rothman	James	MIDDLE NAME	
RESIDENCE & CITIZENS	HIP CITY	STATE or FOREIGN COUNTRY		
	New York	New York	1	IZENSHIP
POST OFFICE	POST OFFICE ADDRESS	CITY	US	
ADDRESS	402 East 64th Street, Apt. 10B		STATE OF COUNTY New York	ZIP COD 10021
DATE	SIGNATURE OF INVENTOR			
FULL NAME OF SECOND OINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
	Maynew	Mark		
RESIDENCE & CITIZENSH		STATE or FOREIGN COUNTRY	COUNTRY OF CITE	ZENSHIP
	Tarrytown	New York	Great Britain	
POST OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	
DDRESS	414 Benedict Avenue, Apt. 3E	Tarrytown	New York	10591
ATE 8/98	SIGNATURE OF INVENTOR	Tallytown	INEW FOR	110391
JLL NAME OF THIRD				
INTENVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
	Ное	Mee	H.	
SIDENCE & CITIZENSHI		STATE or FOREIGN COUNTRY	COUNTRY OF CITIZ	ENSHIP
	Irvington	New York	Malaysia	
ST-OFFICE DDRESS	POST OFFICE ADDRESS	СПУ	STATE or COUNTRY	ZIP CODE
/D/E23	10 south Cottenet Street, 2S SIGNATURE OF INVENTOR	Irvington	New York	10533
LLNAME OF FOURTH	LAST NAME	FIRST NAME	MIDDLE NAME	
SIDENCE & CITIZENSHIP	СПҮ	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
T OFFICE DRESS	POST OFFICE ADDRESS	СІТУ	STATE or COUNTRY	ZIP CODE
TE	SIGNATURE OF INVENTOR			
L NAME OF FIFTH IT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
DENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
OFFICE RESS	POST OFFICE ADDRESS	СІТУ	STATE or COUNTRY	ZIP CODE
B	SIGNATURE OF INVENTOR			<u> </u>
NAME OF SIXTH I INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME	
DENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP	
OFFICE	POST OFFICE ADDRESS	CITY	STATE or COUNTRY	ZIP CODE

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FULL NAME OF SEVENTH JOINT INVENTOR, IF ANY	LAST NAME	FIRST NAME	MIDDLE NAME  COUNTRY OF CITIZENSHIP		
RESIDENCE & CITIZENSHIP	CITY	STATE or FOREIGN COUNTY			
POST OFFICE ADDRESS'	POST OFFICE ADDRESS	СПУ	STATE or COUNTRY	ZIP CODE	
DATE	SIGNATURE OF INVENTOR				
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] Signature for inventor Number of pages adde	who refuses to sign, or cannot be	e reached, by person authorized under	37 CFR 1.47.		

Number of pages added \_\_\_\_\_\_